



Ralph R. Scott

Chief Investment Officer

Ralph “Rick” Scott’s distinguished career in asset management and securities law spans more than three decades. In his current role as CIO and senior managing director at L&S Advisors, Rick draws upon his expertise in opportunistic, risk-managed investing and complex securities to actively manage portfolios for the firm’s high-net worth clients.

Since the inception of L&S Advisors in 1979, Rick has played various financial management roles. In his early career as partner and co-founder, he managed a variety of funds and individual accounts using options, deal arbitrage, and hedge fund strategies. He specialized in risk arbitrage during its peak in the late 70s through the 80s. Following the acquisition of L&S Advisors in 1997 by Merrill Lynch, Rick continued to manage client portfolios under full discretion within the brokerage firm environment.

In 2005, Rick and his partner, Sy Richard Lippman, were inspired to go back to their independent roots, reestablishing L&S Advisors as a fee-only registered investment advisor (RIA). The firm’s goal is to deliver stable, attractive returns while steadfastly protecting capital above all else.

Previously, Rick was a Senior Trial Attorney for the U.S. Securities and Exchange Commission and was associated with the firm of Haberman, Klein and Kassoy as Of Counsel specializing in Securities Law and Securities Litigation.

Rick holds a B.A. from the University of Vermont and a Juris Doctorate from George Washington University School of Law, Washington, D.C.